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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                           |            |
|-----------------------|---------------------------|------------|
| In re application of: | Correa et al.             | )          |
| Serial No.:           | 10/629,151                | ) Art Unit |
| Filed:                | July 29, 2003             | ) 3635     |
| For:                  | BLOCK CONSTRUCTION SYSTEM | )          |
| Examiner:             | Unknown                   | )          |
| Attorney Docket:      | 11110.4.1                 | )          |

TRANSMITTAL OF  
INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits whichever occurs last. 37 CFR 1.97(b).

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 14-1519. A duplicate of this request is enclosed.

Respectfully submitted this 20<sup>th</sup> day of January, 2004.

NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

Transmitted: Information Disclosure Statement; PTO Form 1449;  
and 8 references.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

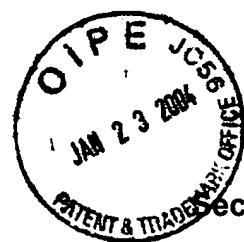
In re application of: Correa et al. )  
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For: BLOCK CONSTRUCTION SYSTEM )  
Examiner: Unknown )  
Attorney Docket: 11110.4.1 )

INFORMATION DISCLOSURE STATEMENT

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The following sections are being submitted for this Information Disclosure Statement:

1. X Preliminary Statements
2. X FORM PTO - 1449 (Modified)
3. X Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies are Submitted Or Need be Submitted.
4. X Copies of Listed Information Items Accompanying This Statement.
5.    Concise Explanation of Non-English Language Listed Information Items.
6.    Translation(s) of Non-English Language Documents.
7.    Certification Under 37 C.F.R. §1.97.(e).
8.    Fee as required under 37 C.F.R §1.17(p).
9.    Petition requesting consideration of Statement and Petition Fee as set forth in 37 C.F.R. §1.17(i)(1).
10. X Identification of Person(s) Making This Information Disclosure Statement.



## Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office  
  
List Submitted in Accordance  
with 37 CFR § 1.98 (a)(1)

Attorney Docket: 11110.4.1  
Serial No.: 10/629,151  
Applicant: Correa et al.  
Filing Date: July 29, 2003  
Group No.: 3635

U.S. Patent Documents

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issue Date</u> | <u>Patentee</u> |
|--------------------------|----------------------|-------------------|-----------------|
| AA                       | 1,726,844            | 09/03/29          | Larkin          |
| AB                       | 1,995,477            | 03/26/35          | Kotrbaty        |
| AC                       | 2,363,405            | 1/21/44           | Eichelberger    |
| AD                       | 2,432,622            | 12/16/47          | Johnston        |
| AE                       | 2,847,099            | 08/12/58          | Gruber          |
| AF                       | 2,981,383            | 04/25/61          | Dunn            |
| AG                       | 3,995,402            | 12/07/76          | Parenteau       |
| AH                       | 5,022,210            | 06/11/91          | Scott           |

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified)  
U.S. Dept of Commerce  
Patent and Trademark Office  
  
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Foreign Patent Documents

| <u>Document Number</u> | <u>Pub. Date</u> | <u>Country</u> | <u>Translation</u> |
|------------------------|------------------|----------------|--------------------|
|------------------------|------------------|----------------|--------------------|

— A —

Other Documents

(including Author, Title, Pertinent Pages, date and place of publication)

— A —

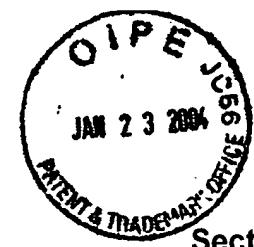
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Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

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\* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**Section 3. Identification Of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.**

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. 09/666,490 filed on September 18, 2000. The following references were submitted to and/or cited by the Office in this prior application and, therefore, are not required to be provided in this application.

| <u>Patent No.</u> | <u>Issue Date</u> | <u>Name</u>     | <u>Country</u> |
|-------------------|-------------------|-----------------|----------------|
| 2,012,024 B1      | 08/20/35          | Roberts         | USA            |
| 3,418,774 B1      | 12/31/68          | Kocher et al.   | USA            |
| 4,035,975 B1      | 07/19/77          | Gergely         | USA            |
| 4,426,815         | 01/24/84          | Brown           | USA            |
| 4,485,604 B1      | 12/04/84          | Palamara et al. | USA            |
| 4,498,266 B1      | 02/12/85          | Perreton        | USA            |
| 4,651,485 B1      | 03/24/87          | Osborne         | USA            |
| 5,205,675 B1      | 04/27/93          | Hamel           | USA            |
| 5,339,592 B1      | 08/23/94          | Schmid          | USA            |
| 5,421,135 B1      | 06/06/95          | Stevens et al.  | USA            |
| 5,657,597 B1      | 08/19/97          | Loftus          | USA            |

MCIBS, INC., "Mortarless Interlocking Money-Saving System-Mortarless Concrete Block Construction", 1981, St. Louis, Missouri.

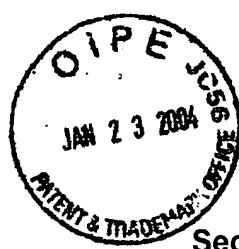
TRUE CAST CONCRETE PRODUCTS, "How to Build Your Own Interlocking Mortarless Concrete Block Wall", Sun Valley, CA.



**Section 4. Copies of Listed Information Items Accompanying This Information Disclosure Statement.**

Legible copies of **all** items listed below accompany this Information Disclosure Statement.

| <u>Patent No.</u> | <u>Date</u> | <u>Name</u>  | <u>Country</u> |
|-------------------|-------------|--------------|----------------|
| 1,726,844         | 09/03/29    | Larkin       | USA            |
| 1,995,477         | 03/26/35    | Kotrbaty     | USA            |
| 2,363,405         | 1/21/44     | Eichelberger | USA            |
| 2,432,622         | 12/16/47    | Johnston     | USA            |
| 2,847,099         | 08/12/58    | Gruber       | USA            |
| 2,981,383         | 04/25/61    | Dunn         | USA            |
| 3,995,402         | 12/07/76    | Parenteau    | USA            |
| 5,022,210         | 06/11/91    | Scott        | USA            |



**Section 10. Identification of Person(s) Making this Information Disclosure Statement.**

The person making this statement is

- (a)        the inventor(s) who signs below

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SIGNATURE OF INVENTOR

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Name of Inventor

- (b) X the attorney who signs below on the basis of:

- X the information supplied by the inventor(s)  
       an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))  
X the information in the attorney's file

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Examiner: Unknown )  
Attorney Docket: 11110.4.1 )

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this 20<sup>th</sup> day of January, 2004.

*Megan N. Kelly*  
MEGAN N. KELLY  
Legal Document Assistant